

DOCKET NO.: UNMC-0032



COPY OF PAPERS  
ORIGINALLY FILED

Section 101  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Ira J. Fox and Philippe LeBoulch

Serial No.: 09/816,785

Group No.: 1645

Filing Date: March 22, 2001

Examiner: Not Assigned Yet

For: Reversibility Immortalized Hepatocytes and Methods of Use

DATE OF DEPOSIT: 11/19/01

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
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TO BOX MISSING PARTS, ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME: Janet E. Reed  
REGISTRATION NO.: 36,252

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
REQUEST FOR EXTENSION OF TIME**

In response to the "Notice to File Missing Parts of Application--Filing Date Granted"

dated May 23, 2001, a response to which is due November 23, 2001, enclosed herewith for filing  
02/21/2002 NNOHAM1 00000077 233050 09816785  
04 FC:218 is: 15.00 CH 705.00 OP

- ☒ A copy of the Notice to File Missing Parts.
- ☒ Copies of the original Combined Declaration and Power of Attorney, executed by the inventor(s).
- ☒ An Associate Power of Attorney is also enclosed.
- ☒ Basic Filing Fee of \$355.00, additional claim fee \$54.00 is enclosed together with the \$65.00 surcharge and \$720.00 extension fee: Total \$1194.00.

- ☐ Request for Corrected Filing Receipt.
- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.
- ☐ \_\_\_\_\_ Sheets of Substitute drawings in compliance with 37 C.F.R. 1.84.
- ☐ Other: \_\_\_\_\_.
- ☐ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☒ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
- ☐ a Small Business Concern
- ☒ a Nonprofit Organization
- ☒ Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application of May 23, 2001 to and through November 23, 2001, comprising an extension of the shortened period of four months:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
MISSING PARTS SURCHARGE	\$65	\$65.00	\$130	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$460	\$	\$720	\$
<input checked="" type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$720	\$720.00	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$980	\$	\$1960	\$
TOTAL FEE DUE		\$785.00		

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

Total fee required **\$1194.00**

- ☒ A check in the amount of \$1194.00 is attached to cover the surcharge. Please charge

any deficiency or credit any overpayment to Deposit Account No. 23-3050.

- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$\_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
  - ☒ Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

This sheet is attached in duplicate.

Date: 11/19/01

  
Janet E. Reed

Registration No. 36,252

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



DOCKET NO.: UNMC-0032

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Fox, *et al.*

Serial No.: 09/816,785

Group Art Unit: 1645

Filing Date: March 22, 2001

Examiner: Not Assigned yet

For: Reversibility Immortalized Hepatocytes and Methods of Use

DATE OF DEPOSIT: 2/4/02

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE BOX ISSUE FEE, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

NAME: Janet E. Reed  
REGISTRATION NO.: 36,252

Box Missing Parts  
Assistant Commissioner for Patents  
Washington DC 20231

## COMMUNICATION

A Response to the Notice to File Missing Parts was mailed to the United States Patent and Trademark Office on November 19, 2001, using the certificate of mailing procedure as evidenced by the documents submitted herewith. The envelope containing the attendant documents was sent by return mail back to Applicant's undersigned attorney, and was received in the attorney's office on February 4, 2002. Upon inspection of the envelope and its contents, it was readily apparent that the envelope had been irradiated, presumably to destroy Anthrax organisms. Irradiation of the envelope and contents caused the mailing label on the envelope to blacken, such that the addressee was no longer discernible. A copy of the envelope is submitted herewith. It is surmised that the mail was returned to the undersigned attorney, whose address was still visible, because the U.S. Postal Service was unable to determine the original addressee.


Accordingly, Applicant respectfully requests submission of the Response to the Notice to File Missing Parts with Request for Extension of Time and attachments on November 19, 2001.

**SUBMISSION**

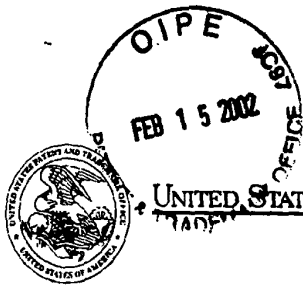
Submitted herewith is:

- ☒ The original Response to the Notice to File Missing Parts with Request for Extension of Time, Copies of 3 Combined Declarations and Powers of Attorney, Associate Power of Attorney, Part 2 of Notice to File Missing Parts.
- ☒ Copy of the original mailing envelope with destroyed label.
- ☒ Original check No.109648 in the amount of \$1,194.00
- ☒ Original postcard identifying the papers filed.

Date: 2/04/02

  
\_\_\_\_\_  
Janet E. Reed  
Registration No. 36,252

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
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Facsimile: (215) 568-3439



UNITED STATES PATENT AND TRADEMARK OFFICE

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#3 Page 1 of 2

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,785	03/22/2001	Ira J. Fox	UNMC 63128-CIP/13292-0004

CONFIRMATION NO. 5547

FORMALITIES LETTER



\*OC000000006106197\*

SAUL EWING, LLP  
Centre Square West  
38th Floor  
1500 Market Street  
Philadelphia, PA 19102-2186

Date Mailed: 05/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$108.
  - \$108 for 6 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 948.

*A copy of this notice MUST be returned with the reply.*

*Thains*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/21/2002 NH04H01 00000077 233050 09816785  
01 FC:201 370.00 OP  
02 FC:203 54.00 OP  
03 FC:205 65.00 OP